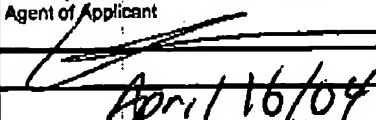


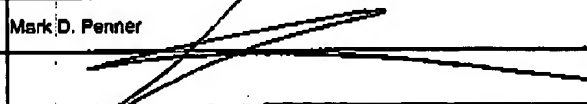
PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/073,110	
	Filing Date	02/12/2002	
	First Named Inventor	MCGUGAN, Edward	
	Art Unit	3671	
	Examiner Name	PECHHOLD, Alexandra K.	
Total Number of Pages in This Submission	11	Attorney Docket Number	55717/220

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Request for Continued Examination - Preliminary Amendment
Remarks		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	BLAKE, CASSELS & GRAYDON LLP per Mark D. Penner (Reg. No. 48,092)	
Signature		
Date	April 16/04	

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Typed or printed name	Mark D. Penner	
Signature		Date April 16, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9198 and select option 2.

21268671.1

APR. 16. 2004 3:26PM

RECEIVED  
CENTRAL FAX CENTER

NO. 8495 P. 1

APR 16 2004

# BLAKE, CASSELS & GRAYDON LLP

BARRISTERS & SOLICITORS | PATENT & TRADE-MARK AGENTS

# OFFICIAL

Facsimile: 416.863.2653

Box 25, Commerce Court West  
199 Bay Street  
Toronto, Ontario, Canada  
M5L 1A9

NUMBER OF PAGES INCLUDING COVER PAGE: 12

DATE: April 16, 2004

TO: (Name, Company, City & Country)

FACSIMILE:

TELEPHONE:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

703.872.9306

FROM: Mark D. Penner

BUSINESS PHONE:

416.863.4283

SECRETARY EXT.:

416.863.2631

## MESSAGE:

Pursuant to 37 C.F.R. § 1.8, I certify that the following correspondence has been transmitted via facsimile transmission to the US Patent Office at telephone number 703-872-9306 (TC 2600) on April 16, 2004.

Signature: 

Date: April 16, 2004

Name: Mark D. Penner

Appl. No. : 10/073,110  
Applicant : Edward MCGUGAN  
Filed : February 12, 2002  
Title : SLIDE RAIL ADJUSTMENT FOR GRADER BLADE  
Art Unit : 3671  
Examiner : Alexandra K. Pechhold  
  
Docket No. : 55717/220  
Customer No. : 27871

ORIGINALS SENT BY: (check one) ☐ MAIL ☐ TDX ☐ NOT SENT ☐ \_\_\_\_\_  
(please specify)

ANY PROBLEMS? Please contact your Fax Operator or the Blakes Fax Operator at: 416.863.5842

### CONFIDENTIALITY NOTE:

This message is **CONFIDENTIAL** and is legally privileged. It is intended only for the person(s) or organization(s) named above and any other use or disclosure is strictly forbidden. If this message is received by anyone else, please notify us at once by telephone and return the original by mail to the above address. Thank You.

FILE REFERENCE: 55717/220

TIME IN: \_\_\_\_\_  
21268781.1

TIME SENT: \_\_\_\_\_

OPERATOR: \_\_\_\_\_

APR. 16. 2004 3:28PM

RECEIVED  
CENTRAL FAX CENTER

NO. 8495 P. 6

APR 16 2004

OFFICIAL

Appl. No. 10/073,110  
Reply to Office action of October 16, 2003

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this correspondence is being facsimile transmitted at 703.872.9306 to the Commissioner of Patents, P.O. Box 1450, Alexandria VA 22313-1450 on the date set forth below:

April 16, 2004

Mark D. Penner, Registration No. 48,092

**CONFIDENTIALITY NOTE:**

This message is CONFIDENTIAL and is legally privileged. It is intended only for the person(s) or organization(s) named above and any other use or disclosure is strictly forbidden. If this message is received by anyone else, please notify us at once by telephone (collect, 416.863.4283) and return the original by mail to:

Mark D. Penner  
Box 25, Commerce Court West  
Toronto, Ontario, Canada  
M5L 1A9

27871  
PATENT TRADEMARK OFFICE

Thank You.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

April 16, 2004

Appl. No. : 10/073,110  
Applicant : Edward MCGUGAN  
Filed : February 12, 2002  
Title : SLIDE RAIL ADJUSTMENT FOR GRADER BLADE  
Art Unit : 3671  
Examiner : Alexandra K. Pechhold

Docket No. : 55717/220  
Customer No. : 27871

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** 1720.00**Complete if Known**

Application Number	10/073,110
Filing Date	02/12/2002
First Named Inventor	MCGUGAN, Edward
Examiner Name	PECHHOLD, Alexandra K.
Art Unit	3671
Attorney Docket No.	55717/220

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number  
02-2553Deposit Account Name  
Blake Cassels & Graydon LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
<b>SUBTOTAL (1)</b>			<b>(\$)</b> 0.00

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims		Extra Claims		Fee from below		Fee Paid	
Independent		- 20** =		X			
Multiple Dependent		- 3** =		X			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 86	2201 43	Independent claims in excess of 3	
1203 290	2203 145	Multiple dependent claim, if not paid	
1204 86	2204 43	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>			<b>(\$)</b> 0.00

\*\*or number previously paid, if greater. For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65		Surcharge - late filing fee or oath	
1052 50	2052 25		Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130		Non-English specification	
1812 2,520	1812 2,520		For filing a request for ex parte reexamination	
1804 920*	1804 920*		Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*		Requesting publication of SIR after Examiner action	
1251 110	2251 55		Extension for reply within first month	
1252 420	2252 210		Extension for reply within second month	950.00
1253 950	2253 475		Extension for reply within third month	
1254 1,480	2254 740		Extension for reply within fourth month	
1255 2,010	2255 1,005		Extension for reply within fifth month	
1401 330	2401 165		Notice of Appeal	
1402 330	2402 165		Filing a brief in support of an appeal	
1403 290	2403 145		Request for oral hearing	
1451 1,510	1451 1,510		Petition to institute a public use proceeding	
1452 110	2452 55		Petition to revive - unavoidable	
1453 1,330	2453 665		Petition to revive - unintentional	
1501 1,330	2501 665		Utility issue fee (or reissue)	
1502 480	2502 240		Design issue fee	
1503 640	2503 320		Plant issue fee	
1460 130	1460 130		Petitions to the Commissioner	
1807 50	1807 50		Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180		Submission of Information Disclosure Sheet	
8021 40	8021 40		Recording each patent assignment per property (times number of properties)	
1809 770	2809 385		Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385		For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385		Request for Continued Examination (RCE)	770.00
1802 900	1802 900		Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$)**SUBMITTED BY**

Name (Print/Type) Mark D. Penner

Signature

Registration No.  
(Attorney/Agent)

48,092

(Complete if applicable)

Telephone 416.863.4283

Date

April 16, 2004

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.